PTO/SB/21 (07-06)
Approved for use through 09/30/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ATE HAS		Application Numb	er	10/801,18)2	
TRANSMITTAL	Filing Date		March 15	, 2004		
TRANSMITTAL FORM	First Named Inver	ntor	Peter Asc	henbrenner		
AND THE PROPERTY OF THE PROPER		Art Unit		1753		
to be used for all correspondence after	initial filing)	Examiner Name		not yet kn	own	
Total Number of Pages in This Submiss	sion 7	Attorney Docket N	lumber	10031.000	0600	
	ENCLO	SURES (check all the	at apply)			
Fee Transmittal Form	☐ Drawing(s			After All	owance Communication to TC	
Fee Attached	Licensing-	-related Papers			Communication to Board	
□ A	Petition			I —	eals and Interferences Communication to TC	
Amendment / Reply					Notice, Brief, Reply Brief)	
After Final		Convert to a al Application		Proprietary Information		
Affidavits/declaration(s)		Attorney, Revocation f Correspondence Add	iress	Status L	etter	
Extension of Time Request	Disclaimer			inclosure(s) dentify below):		
	Request for Refund		PTO SB-08			
Express Abandonment Request		CD, Number of CD(s)			d ref.: N documents; eipt Postcard	
Information Disclosure Statement	☐ Landscape Table on CD					
Certified Copy of Priority Document(s)	Remarks					
Reply to Missing Parts/						
Incomplete Application Reply to Missing Parts						
under 37 CFR1.52 or 1.53						
SIG	NATURE OF A	APPLICANT, ATTO	RNEY, O	R AGENT		
Firm OKAMOTO & BENEDICTO LLP						
Signature	L					
Printed Name	dicto					
Date August 21, 2006			Reg. No.	40,909		
CERTIFICATE OF TRANSMISSION/MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.						
Alexandria, VA 22313-1450 on the date		r arr crivelope addres			•	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Peter Aschenbrenner

Serial No. 10/801,182

Filed:

March 15, 2004

Title: Ventilated Photovoltaic Module

Frame

Examiner: not yet known

Art Unit: 1753

Atty. Dkt. No.: 10031.000600 (S0034)

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §1.56 and §§1.97-98

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing references which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior
application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the
references cited therein are hereby listed but are not required to be provided in
this application under 37 CFR § 1.98(d).

Pursuant to 37 CFR §1.97, this Information Disclosure Statement is being submitted under the section indicated below.

\boxtimes	37 CFR §1.97(b).
	37 CFR §1.97(c). If so, then either: Applicant certifies pursuant to 37 CFR § 1.97(e) that either: each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56 (c) more than three months prior to the filing of this Statement.
	OR Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).
	37 CFR § 1.97(d). If so, then both: □ Applicant certifies pursuant to 37 CFR § 1.97(e) that either: □ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more that three months prior to the filing of this Statement; OR □ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement. AND
	Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).

Consideration of the listed references and favorable action are respectfully solicited.

Respectfully submitted,
Peter Aschenbrenner

Dated: August 21, 2006

By:

Patrick D. Benedicto Attorney For Applicant(s) Reg. No. 40,909

OKAMOTO & BENEDICTO LLP

P.O. Box 641330

Paia por

San Jose, CA 95164-1330

Tel.: (408) 436-2110 Fax: (408) 436-2114

	CERTIFICATE OF MAILI	ING	
deposited with the Commissioner for Par Express Mail Mailing	t this correspondence, including the enclosur nited States Postal Service as first class ma ents, P.O. Box 1450, Alexandria, VA 22313-149 Number is filled in below, then this corresp Service "Express Mail Post Office to Address	ail in an envelop 50 on the date sl pondence is being	pe addressed to: hown below. If the g deposited with the
Signature:	pain		•
Typed or Printed Name:	Patrick D. Benedicto	Dated:	8/21/2006
Express Mail Mail (optional):	ng Number		

PTO/SB/08A (07-06)

Approved for use through 09/30/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

inder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449B/PTO Complete if Known Application Number 10/801,182 INFORMATION DISCLOSURE Filing Date March 15, 2004 STATEMENT BY APPLICANT First Named Inventor Peter Aschenbrenner Art Unit 1753 (Use as many sheets as necessary) Examiner Name not yet known of Sheet Attorney Docket Number 10031.000600 (S0034)

Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	N	SANYO Hit Photovoltaic Module, HIP-J54BE2 (2 sheets).	
,			

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.